



PTO/SB/08B (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/700,101
Filing Date	11/8/2000
First Named Inventor	Glick, et al
Art Unit	1618
Examiner Name	Kim, Vickie Y
Attorney Docket Number	UM-07268

Sheet	1	of	1
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NON PATENT LITERATURE DOCUMENTS

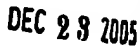
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Snyder, Jane R., Chemistry & Biol., 12, (2005), 477-484	
	2	Williams, Darren, Chemistry & Biol., 11, (2004), 1251-1259	

Examiner Signature		Date Considered	3/30/06
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Sheet 1

of 2

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Application Number	09/700 101
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Signature**

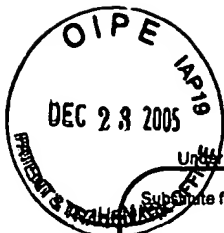
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Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

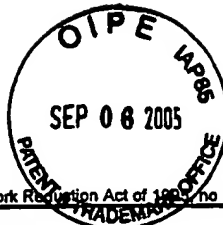
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	Parks, Daniel J. Bioorg Med Chem. Ltrs., 15,(2005), 765-770	
	4	Raboisson, P. Bioorg Med Chem. Ltrs., 15,(2005), 1857-1861	
	5	Hulme, C. J. Org. Chem., 63,(1998), 8021-8023	
	6	Kamal, A. Synlett, 14,(2004), 2533-35	
	7	Grasberger, Bruce L., J. Med. Chem., 48, (2005), 909-912	

Examiner Signature		Date Considered	3/30/06
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Application Number 09/700,101

Filing Date 11/08/2000

First Named Inventor Gary D. Glick et al.

Art Unit Not Assigned

Examiner Name Not Assigned

Attorney Docket Number UM-07268

Sheet 1

of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Y	1	Beurdeley-Thomas et al., Journal of Neuro-Oncology 46 (2000) 45-56	
	2	Churcher et al., Bioorganic & Medicinal Chemistry Letters 13 (2003) 179-183	
	3	Darrow et al., Bioorganic & Medicinal Chemistry Letters 8 (1998) 3127-3132	
	4	Herranz, Medicinal Research Reviews 23 (2003) 559-603	
	5	Ramdas et al., Archives of Biochemistry and Biophysics 368 (1999) 394-400	
	6	Ursini et al., J. Med. Chem. 43 (2000) 3596-3613	

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3/30/06

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FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: UM-07268	Serial No.: 09/700,101
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)					
(37 CFR 1.98(b))				Applicant: Gary D. Glick <i>et al.</i> Filing Date: 11/08/2000	
				Group Art Unit:	

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	1	5,776,946	07/07/1998	McGeer <i>et al.</i>	514	307	08/28/1995
	2	5,288,514	2/22/940	Ellman	427	29.6	9/14/92

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
	3	WO 99/29347	17 June 1999	WO			Yes
	4	WO 99/58117	18 Nov 1999	WO			No
	5	DE 1810423		Germany			
	6	EP 0 227 539 B1	11 Dec 1986	EPO			

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	7	Walser <i>et al.</i> , J. Org. Chem. 38:3502-3507 (1973)
	8	Kim <i>et al.</i> , J. Braz. Chem. Soc. 9:375-379 (1998)
	9	Schlumpf, M., et al., "Delayed Developmental Immunotoxicity of Prenatal Benzodiazepines," Toxic. In Vitro - 8(5):1061-1065(1994).

Examiner:	Date Considered: 3/10/06
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Docket No. UM 2038.01

Appl. No. 09/700,101

INFORMATION DISCLOSURE
STATEMENT

Applicant(s)




Gary D. GLICK, et al.

Filing Date: November 8, 2000

Group Art Unit: 1614

(use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
	1.	08/21/01	6,277,844 B1	Spector et al.			
	2.	11/20/01	6,319,931 B1	Kroemer et al.			

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PTO/SB/08 (2/92)
Sheet 1 of 1

Supplemental Form PTO-1449	Attorney's Docket No. 128019-203801	Application Serial No. 09/700,101
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	Applicant(s) Gary D. GLICK, et al.	
 (Use several sheets if necessary)	Filing Date: November 8, 2000	Group Art Unit: 1614

U.S. PATENT DOCUMENTS

Patent Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)


FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS

(including author, title, date, pertinent pages, etc.)

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EXAMINER: 	DATE CONSIDERED: 3/7/06
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Supplemental Form PTO-1489

Attorney's Docket No. 128019-203801

Application Serial No. 09/700,101

INFORMATION DISCLOSURE STATEMENT

Applicant(s)

Gary D. GLICK, et al.

Filing Date: November 8, 2000

Group Art Unit: Unassigned

(use several sheets if necessary)

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
							YES	NO
	1.	10/01/1990	0 349 949 A2	EPO				

OTHER DOCUMENTS

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PTO/SB/08 (2/92)
Sheet 1 of 3

Form PTO-1449

Attorney's Docket No. 128019-203801

Application Serial No. 09/700,101

INFORMATION DISCLOSURE STATEMENT

Applicant(s)

Gary D. GLICK, et al.

Filing Date: November 8, 2000

Group Art Unit: Unassigned

(use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
	1.	02/28/1978	4,076,823	Wade, et al.			
	2.	08/29/1978	4,110,337	Szarvasi			
	3.	01/22/1985	4,495,101	Klaubert, et al.			
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	5.	08/20/1991	5,041,438	Hsu			
	6.	06/28/1994	5,324,726	Bock, et al.			
	7.	01/28/1997	5,597,915	Chambers, et al.			
	8.	07/07/1998	5,776,946	McGeer, et al.			
	9.	01/19/1999	5,861,380	Gyorkos, et al.			
	10.	12/21/1999	6,004,942	Firestein, et al.			
	11.	06/27/2000	6,080,588	Glick			

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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation
	12.	06/04/2000	WO 00/19200	PCT			YES NO

OTHER DOCUMENTS

(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
	13.	Adachi, M., et al., "Aberrant Transcription Caused by the Insertion of an Early Transposable Element in an Intron of the Fas Antigen Gene of <i>lpr</i> Mice," <i>Proc. Natl. Acad. Sci. USA</i> - 90:1756-1760 (1993) ✓
	14.	Adelman, N.E., et al., "Treatment of (NZB X NZW) _{F1} Disease with Anti-I-A Monoclonal Antibodies," <i>J. Exp. Med.</i> - 158:1350-1355 (1983) ✓
	15.	Baader, S.L., et al., "Uptake and Cytotoxicity of Ascorbic Acid and Dehydroascorbic Acid in Neuroblastoma (SK-N-SH) and Neuroectodermal (SK-N-LO) Cells," <i>Anticancer Research</i> - 14:221-228 (1994) ✓
	16.	Beale, P.J., et al., "BCL-2 Family Protein Expression and Platinum Drug Resistance in Ovarian Carcinoma," <i>British Journal of Cancer</i> - 82(2):436-440 (2000) ✓
	17.	Boojamra, C.G., et al., "Solid-Phase Synthesis of 1,4-Benzodiazepine-2,5-Diones. Library Preparation and Demonstration of Synthesis Generality," <i>J. Org. Chem.</i> - 62:1240-1256 (1997) ✓
	18.	Bunin, B.A., et al., "A General and Expedient Method for the Solid-Phase Synthesis of 1,4-Benzodiazepine Derivatives," <i>J. Am. Chem. Soc.</i> - 114:10997-10998 (1992) ✓
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	21.	Cohen, P.L., et al., " <i>Lpr</i> and <i>gld</i> : Single Gene Models of Systemic Autoimmunity and Lymphoproliferative Disease," <i>Annu. Rev. Immunol.</i> - 9:243-269 (1991) ✓
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	23.	Desolze, B., "Anticancer Drug Resistance and Inhibition of Apoptosis," <i>Anticancer Research</i> - 14:2291-2294 (1994) ✓

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Form PTO-1449 INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	Attorney's Docket No. 128019-203801	Application Serial No. 108790,101
	Applicant(s) Gary D. GLICK, et al.	Group Art Unit: Unassigned
Filing Date: November 8, 2000		

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(Including author, title, date, pertinent pages, etc.)

- | | |
|-----|--|
| 24. | Doble, A., et al., "Labelling of Peripheral-Type Benzodiazepine Binding Sites in Human Brain with [³ H]PK 11195: Anatomical and Subcellular Distribution," <i>Brain Research Bulletin</i> - 18:49-61 (1987) ✓ |
| 25. | Donadio, J.V., et al., "Immunosuppressive Drug Therapy in Lupus Nephritis," <i>American Journal of Kidney Diseases</i> - 21(3):239-250 (1993) ✓ |
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| 28. | Garcia-Calvo, M., et al., "Inhibition of Human Caspases by Peptide-Based and Macromolecular Inhibitors," <i>The Journal of Biological Chemistry</i> - 273(49):32608-32613 (1998) ✓ |
| 29. | Gorczyca, W., et al., "Induction of DNA Strand Breaks Associated with Apoptosis During Treatment of Leukemias," <i>Leukemia</i> - 7(5):659-670 (1993) ✓ |
| 30. | Gordon, C., et al., "Chronic Therapy with Recombinant Tumor Necrosis Factor- α in Autoimmune NZB/NZW F ₁ Mice," <i>Clinical Immunology and Immunopathology</i> - 52:421-434 (1989) ✓ |
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| 33. | Hang, L., et al., "A Spontaneous Rheumatoid Arthritis-Like Disease in MRL/l Mice," <i>J. Exp. Med.</i> - 155:1690-1701 (1982) ✓ |
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| 37. | Korsmeyer, S.J., "Bcl-2 Initiates a New Category of Oncogenes: Regulators of Cell Death," <i>Blood</i> - 80(4):879-886 (1992) ✓ |
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Application Serial No. 09/700,101

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Group Art Unit: Unassigned

(use several sheets if necessary)

OTHER DOCUMENTS (Continuation)

(including author, title, date, pertinent pages, etc.)

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47. Pestell, K.E., et al., "Characterisation of the P53 Status, BCL-2 Expression and Radiation and Platinum Drug Sensitivity of a Panel of Human Ovarian Cancer Cell Lines," *Int. J. Cancer* - 77:913-918 (1998) ✓
48. Raynaud, F.I., et al., "Intracellular Metabolism of the Orally Active Platinum Drug JM216: Influence of Glutathione Levels," *Br. J. Cancer* - 74(3):380-386 (1996) ✓
49. Russell, J.H., et al., "Mature T Cells of Autoimmune lpr/lpr Mice have a Defect in Antigen-Stimulated Suicide," *Proc. Natl. Acad. Sci. USA* - 90:4409-4413 (1993) ✓
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